

## Influence of background pressure on the microstructure and optical properties of Mo/Si multilayers fabricated by magnetron sputtering

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Mo/Si multilayers were fabricated by using magnetron sputtering method at different background pressures:  $6 \times 10^{-5}$  Torr,  $3 \times 10^{-5}$  Torr, and  $3 \times 10^{-6}$  Torr. The reflectivity of the Mo/Si multilayers increased from 1.93% to 16.63%, and the center wavelength revealed a blue shift to 0.12 nm with the decrease of background pressure. Grazing incident X-ray diffraction (GIXRD) indicated that multilayers fabricated at high background pressure possessed better periodic structure and thinner Mo-on-Si interlayers. Low crystallization degree in (110) preferred the orientation of Mo layers and serious interdiffusion in the Mo/Si multilayers fabricated at low background pressure were observed by transmission electron microscopy (TEM). According to quantitative analysis of microstructural parameters, the Mo layers thickness and thickness ratio  $\Gamma$  of Mo/Si multilayers both decreased and approached the design value gradually by the decrease of background pressure. In addition, the thicknesses of Mo-on-Si and Si-on-Mo interlayers were 1.17 nm and 0.85 nm respectively. It is suggested that the influence of background pressures on the microstructure has a critical role in determining the optical properties of Mo/Si multilayers.

**background pressure effects, optical properties, multilayer, microstructure, crystalline orientation**

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## 1 Introduction

Mo/Si multilayers are widely used in X-ray astrophysics, synchrotron radiation applications and extreme ultraviolet (EUV) lithography [1–3]. This is primarily because of the high stability and fairly high reflectivity in the EUV spectral region [4]. Particularly, for high-resolution solar EUV images, the Mo/Si multilayers mirror has important application

in the optical system of space EUV normal incidence telescopes [5–9]. The optical properties of Mo/Si multilayers composed of alternating Mo and Si layers depend highly on structural stability.

Successful fabrication of high reflectivity Mo/Si multilayers has already been demonstrated by magnetron sputtering [10,11], ion-beam sputtering [12] and electron beam evaporation techniques [13]. Magnetron sputtering is the preferred fabrication method because of the room-temperature operation and ease of control for deposition parameters, such as radio frequency (RF) power, electric current,

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working pressure and substrate temperature [14–16]. The control of deposition parameters is the most critical factor for the fabrication of Mo/Si multilayers with desired properties as reported by several researchers [11,14]. Among those, however, the effect of background pressure on the microstructure and optical properties of Mo/Si multilayers has received less research focus. In practice, tiny gas leaking, which is difficult to be detected, results in the reduction of background pressure. In this case, the impurity gas (such as  $H_2$  and  $O_2$ ) enters into the vacuum chamber and affects the nucleation of the Si and/or Mo atoms, which leads to the uneven growth of film and coarsening of Mo/Si interlayers [17]. Therefore, it is necessary to understand the relationships between the optical properties and microstructures of Mo/Si multilayers under different background pressures. It is useful to understand the intrinsic characteristic regarding the effect of tiny impure gas on Mo/Si multilayers growth.

In this paper, the influence of background pressure, which is caused by gas leakage, on the microstructure and optical properties of Mo/Si multilayers fabricated by magnetron sputtering were investigated. The detailed information about the microstructure of Mo/Si multilayers and the influence on the optical properties has been investigated by using Grazing incident X-ray diffraction (GIXRD) and transmission electron microscope (TEM). The study focuses on the microstructural evolution of Mo layer and the interlayer in Mo/Si multilayers with the variation of background pressures.

## 2 Experimental

Mo/Si multilayers were fabricated by magnetron sputtering onto  $10 \times 10$  (mm<sup>2</sup>) superpolished single silicon (100) wafer substrate in Ar (99.99%). The flow rate of Ar gas is 15 sccm, and the operating pressure is 0.75 mTorr. Depositions were performed at room temperature, with the substrate temperature retaining at less than 373 K during deposition. Two RF targets (300 W) and two direct current (DC) targets (300 mA) were used to deposit Si (99.999%) and Mo (99.95%), the deposition rates being 0.131 nm/s and 0.134 nm/s respectively. The sputtering power was kept constant. According to various diameters of the mirror, the angle of sputtering guns could be altered from 0° to 25° for better uniformity. A detailed description of the magnetron deposition system is given elsewhere [10]. Various vacuum pressures of the vacuum chamber were achieved by two methods. Firstly, under a state of tiny gas leaking ( $3.1 \times 10^{-6}$  Torr L/s), background pressure was controlled as  $3 \times 10^{-5}$  Torr and  $6 \times 10^{-5}$  Torr with pumping time, respectively. Secondly, higher background pressure of  $3 \times 10^{-6}$  Torr was obtained when no gas leaking was detected. The parameters of multilayer structure were designated as: period  $d=16.35$  nm, the number of periods  $N=30$ , and the thickness ratio  $\Gamma=d_{Mo}/d \approx 0.25$ .

The structural parameters of Mo/Si multilayers were characterized by GIXRD with a rotating-anode Cu  $K_\alpha$  source ( $\lambda=0.154$  nm) and the angle resolution of XRD is  $0.005^\circ$ . The GIXRD operated over the angular range of  $2\theta=0-6^\circ$ .

Measurements of the EUV reflectivity of multilayers were carried out by using a EUV/soft X-ray reflectometer (EXRR) near the normal incidence ( $2^\circ$ ). The measured reflectance repeatability and wavelength repeatability of EXRR are  $\pm 1\%$  and  $\pm 0.04$  nm, respectively [18,19].

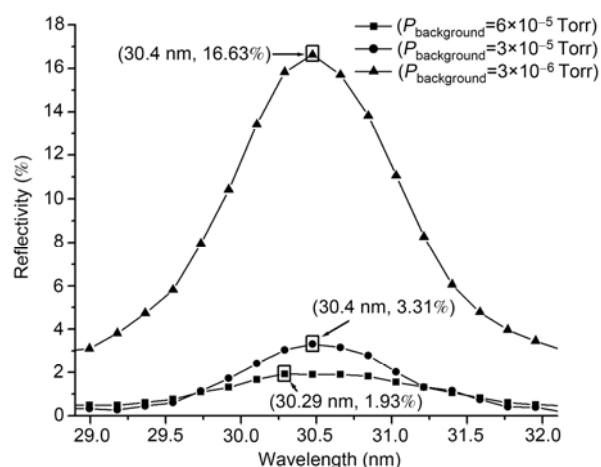
The cross-sectional TEM investigations were performed by aid of JEM-2010 type TEM, which operated at 200 kV acceleration voltage. Ultrathin cross sections of the selected multilayer samples were prepared by mechanical polishing ( $\sim 50$   $\mu\text{m}$ ) followed by careful Ar ion beam milling in Gatan model 691 precision ion polishing system.

Digital micrograph (DM) software was used to measure the thickness of period, Mo layers and interlayers. Such a procedure was repeated five times for every TEM image, the typical average value was chosen as the thickness. The accuracy of thickness measurements was at the level of  $\Delta t \approx \pm 0.02$  nm [20].

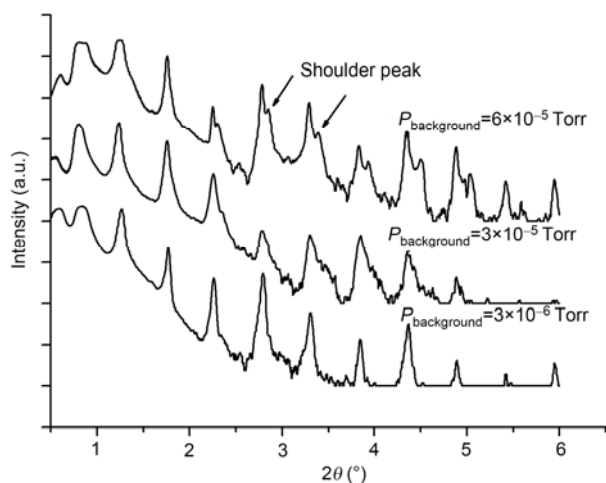
## 3 Results and discussion

The center wavelength and reflectivity of Mo/Si multilayers at various background pressures are shown in Figure 1. The reflectivity of Mo/Si multilayers fabricated at  $3 \times 10^{-6}$  Torr (16.63%) is much higher than others (3.31% at  $3 \times 10^{-5}$  Torr and 1.93% at  $6 \times 10^{-5}$  Torr). Moreover, the center wavelength of multilayers reveals a blue shift of 0.12 nm which was fabricated at  $6 \times 10^{-5}$  Torr. The EXRR result shows that the optical properties of Mo/Si multilayers are significantly affected by background pressure.

Figure 2 shows the GIXRD curves of multilayer films fabricated at different background pressures. The definite diffraction peaks for the Mo/Si multilayers indicate a re-



**Figure 1** Reflectivity of Mo/Si multilayers fabricated at different background pressures.



**Figure 2** GIXRD curves of Mo/Si multilayers fabricated at different background pressures.

producibility of stacking along direction normal to the silicon (100) substrate. As presented in Figure 2, some diffraction peaks in curve *a* have a lower shoulder peak. Based on work reported by Liu et al. [10], this shoulder peak was caused by thicker interlayers between Mo layers and Si layers. The Bragg reflection angle ( $2\theta$ ) in Figure 2 is only determined by the period thickness of Mo/Si multilayers. Therefore, shoulder peak in curve *a* could be attributed to the uneven period thickness ( $d$ ) of Mo/Si multilayers prepared at background pressure of  $6 \times 10^{-5}$  Torr. There is no shoulder peak in curve *b* and curve *c*, and the peaks in curve *c* are sharper and smoother than curve *b* and curve *a*. It can be deduced that Mo/Si multilayers fabricated at  $3 \times 10^{-5}$  and  $3 \times 10^{-6}$  Torr had better periodic structure and thinner Mo-on-Si interlayers. The GIXRD results show that the background pressure has a decisive role in Mo/Si multilayers period structure.

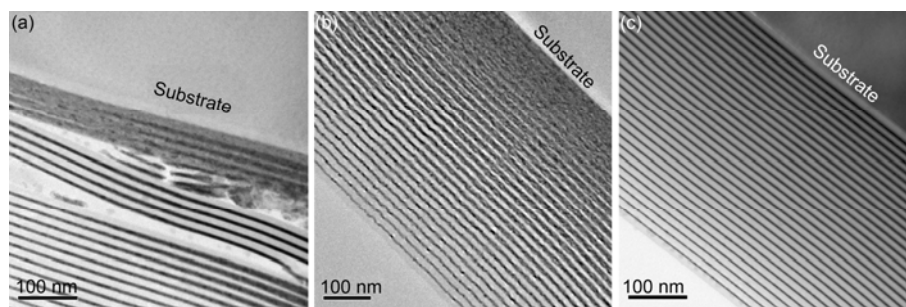
In order to obtain more information on microstructure in Mo/Si multilayers, a number of TEM images from multilayers fabricated at different background pressures were observed. Figure 3 shows the cross-section bright field TEM images taken from Mo/Si multilayers, which represents the bilayer periodicity of Mo/Si multilayers. The bright regions correspond to amorphous Si and the dark regions correspond to crystalline Mo. Some layers near the substrate were bended and fractured as in Figure 3(a). The adverse effects of impurity gas atoms (H, O) on the substrate of these sputtered films were anticipated at low background pressure because of tiny gas leakage [21]. Based on our previous report, the reason for the bending and fracturing was that Mo and Si layers absorbed hydrogen atoms [22]. Figure 3(b) shows the TEM images of Mo/Si multilayers fabricated at  $3 \times 10^{-5}$  Torr. A better bilayer periodicity of Mo/Si multilayers was obtained under this condition, however, the boundaries of both Mo and Si layers display a somewhat wavy nature. This phenomenon indicates that

there are rough interlayers between Mo and Si layers in Mo/Si multilayers which were fabricated at the background pressure of  $3 \times 10^{-5}$  Torr. A perfect structure is observed from Figure 3(c), which shows the TEM images of Mo/Si multilayers fabricated at  $3 \times 10^{-6}$  Torr. It can be clearly seen that both Mo layers and Si layers are well distributed, both straight and smooth. Therefore, it has been clearly shown that the background pressure has great influence on the microstructural homogeneity of Mo/Si multilayers.

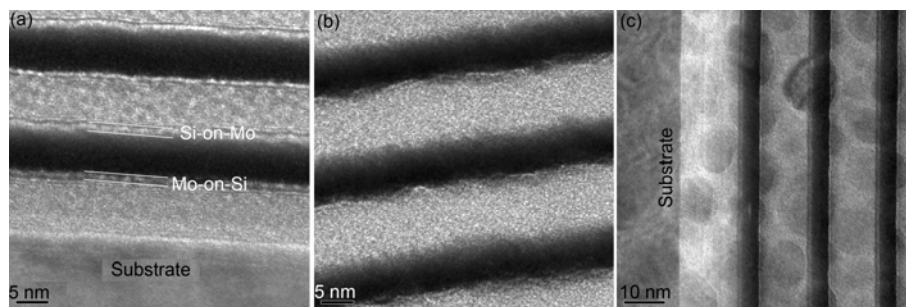
Figure 4 shows the magnified cross-section bright field TEM images of Mo/Si multilayers fabricated at different background pressures, in which the microstructures of the interlayers in Mo/Si multilayers can be observed directly. TEM images of the multilayers fabricated at  $6 \times 10^{-5}$  Torr and  $3 \times 10^{-5}$  Torr (background pressure in  $10^{-5}$  Torr order) shown in Figure 4(a) and (b) demonstrate the presence of thicker Mo layers ( $\sim 6$  nm) and interlayers (Mo-on-Si and Si-on-Mo). Moreover, the interlayers are rougher and bended. When the background pressure decreases to  $3 \times 10^{-6}$  Torr, the boundaries of interlayer become smoother, and the thicknesses of both Mo layer and interlayers decrease, as shown in Figure 4(c). It can be deduced reasonably based on the observed results that background pressures have influence on the roughness and thickness of interlayers, which directly leads to the deterioration of the optical properties of Mo/Si multilayers.

In order to quantitatively analyze the influence of background pressure on the microstructure of Mo/Si multilayers, the thicknesses of period, Mo layers and interlayers were accurately measured by using DM software. Table 1 shows that Mo layers thickness and  $\Gamma$  of Mo/Si multilayers decrease and approach the design value gradually with the decrease of background pressure from  $6 \times 10^{-5}$  Torr to  $3 \times 10^{-6}$  Torr. Specifically, the thicknesses of Mo-on-Si and Si-on-Mo interlayers reduce to 1.17 nm and 0.85 nm, respectively, which are almost half of interlayer thicknesses fabricated at  $6 \times 10^{-5}$  Torr.

The typical HRTEM images of Mo/Si multilayer fabricated at different background pressures are shown in Figure 5. It is remarkable that Mo/Si multilayers are composed of polycrystalline Mo layers and amorphous Si layers, being separated by thinner interlayers. At higher background pressure ( $10^{-6}$  Torr order), the body centered cubic (bcc) type of Mo crystallites show a preferred (110) orientation, which places the most densely populated planes parallel to the Si substrate, as shown in Figure 5(a). Figure 5(b) shows the typical HRTEM image of Mo/Si multilayer fabricated at lower background pressure ( $10^{-5}$  Torr order). It can be observed that there is no crystallographic preferential growth orientation in Mo layers, whereas a random crystallographic orientation is present within the Mo layers. Moreover, it can be seen that the Mo layers are much thicker than Si layers from Figure 5(b), which result in the structure of Mo/Si multilayers not been accorded to the design value. As a re-



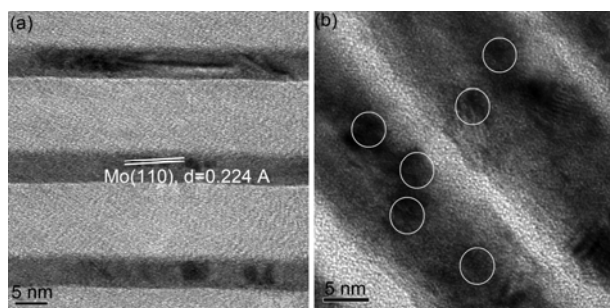
**Figure 3** TEM images of Mo/Si multilayer samples fabricated at different background pressures: (a)  $6 \times 10^{-5}$  Torr; (b)  $3 \times 10^{-5}$  Torr; (c)  $3 \times 10^{-6}$  Torr.



**Figure 4** TEM images of Mo/Si multilayer samples fabricated at different background pressures: (a)  $6 \times 10^{-5}$  Torr; (b)  $3 \times 10^{-5}$  Torr; (c)  $3 \times 10^{-6}$  Torr.

**Table 1** Microstructural parameters of Mo/Si multilayers fabricated at different background pressures

|               | $6 \times 10^{-5}$ Torr | $3 \times 10^{-5}$ Torr | $3 \times 10^{-6}$ Torr | Design value |
|---------------|-------------------------|-------------------------|-------------------------|--------------|
| Period (nm)   | 16.41                   | 16.37                   | 16.33                   | 16.35        |
| Mo (nm)       | 5.47                    | 5.13                    | 4.28                    | 4.10         |
| $\Gamma$      | 0.33                    | 0.31                    | 0.26                    | 0.25         |
| Mo-on-Si (nm) | 2.11                    | 1.49                    | 1.17                    | —            |
| Si-on-Mo (nm) | 1.34                    | 1.27                    | 0.85                    | —            |



**Figure 5** HRTEM images of Mo/Si multilayer samples fabricated at different background pressures: (a)  $3 \times 10^{-6}$  Torr and (b)  $6 \times 10^{-5}$  Torr.

sult, a decrease of the reflectivity of Mo/Si multilayers fabricated at lower background pressures would be expected, as shown in Figure 1. It is suggested that the low background pressure seriously affect the crystallization degree of Mo and interdiffusion behavior between Mo atoms and Si atoms.

Low content of impurity introduced by tiny gas leaking was obtained during the fabrication of Mo/Si multilayers

which is not possible to effectively control by practical experiment. The content of impurity gas can be estimated using the formulas

$$C = F / L, \quad (1)$$

where  $F$  is the flow rate of Ar gas, the  $L$  is the rate of gas leaking. The value of 3.6 ppm of impurity gas is obtained. The experimental results mentioned above suggest that such a low content of impurity gas introduced by tiny gas leaking in the vacuum chamber (at the background pressure of  $10^{-5}$  order Torr.) could seemingly influence the growth and nucleation behavior of Mo/Si multilayers. Apparently, the results in this paper are useful in understanding the interaction mechanism between the impurity gas and microstructure and optical properties of Mo/Si multilayers. It is also significant for the fabrication of Mo/Si multilayers in practical usage.

The introduction of tiny impurity gas may cause density changes, the formation of voids, bending and even fracturing in Mo and Si layers [23,24]. As an important reflecting layer in Mo/Si multilayers, crystalline Mo layer has a decisive role in optical properties. The reduction of sputtering rate and surface mobility of Mo adatoms, which is induced by impurity gas, resulted in serious diffusion and the formation of thick-roughed interlayers. At the background pressure of  $10^{-6}$  order Torr, the surface mobility of Mo adatoms increased because of increased collisions with energetic particles striking the film, as well as arriving adatoms having larger initial kinetic energies. With greater adatom mobility, voids collapse to dimensions comparable

to the range of interatomic distance and thus crystallization degree in (110) of the preferred orientation increases [25]. The crystallization of Mo layer effectively reduced the interdiffusion between Mo and Si layers, which decrease the roughness of interlayers. Therefore it can be reasonably deduced that the influence of background pressure on the crystallization degree and diffusion determines the optical properties of Mo/Si multilayers.

## 4 Conclusions

Herein we investigated the influence of background pressure with respect to the optical properties and microstructure of Mo/Si multilayers in magnetron sputtering. The experimental results demonstrate that the decrease of background pressure leads to higher crystallization degree in (110) preferred orientation of Mo layer with a decrease of interlayer thickness, which finally results in greater optical properties of Mo/Si multilayers. For the higher-resolution solar EUV images, it is critical to increase stability of microstructure of the Mo/Si multilayers by applying lower background pressure range as well as controlling the magnetron sputtering system.

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