

# Role of nitrogen-related complex in stabilizing ferromagnetic ordering in a rare-earth and nitrogen codoped ZnO



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## ABSTRACT

We report the ferromagnetic enhancement in a rare-earth and nitrogen co-doped ZnO thin film. To reveal the origin of ferromagnetism, we perform a comparative study on undoped, Nd-doped, N-doped and (Nd, N)-codoped ZnO thin films by combining experiments with first-principles calculations. Compared with the Nd-doped ZnO, the N incorporation into the Nd-doped ZnO to form 2Nd<sub>Zn</sub>-N<sub>O</sub> complex leads to the more stable ferromagnetic coupling between two Nd atoms, which is well supported by first-principles calculations. Our results suggest that the electronic structure alteration via codoping engineering plays a critical role in stabilizing the ferromagnetic orderings.

## 1. Introduction

Over the past two decades, diluted magnetic semiconductors (DMSs) have attracted much attention due to their potential applications in spin electronics and magnetic devices [1,2]. Compared with the conventional semiconductor, cations in DMSs are partly substituted by magnetic ions so that DMSs are expected to have high Curie temperature ( $T_C$ ) exceeding room temperature [3,4] and utilize both charge and spin degrees of freedom [1,5–7]. The novel behavior of DMSs leads to the potential applications in the new emerging fields of semiconductor spintronic devices, spin polarized light emitting diodes, photovoltaics and sensors [8–13]. Among the various material candidates as DMSs [14,15], most of researches have been mainly focused on ZnO owing to the theoretically predicted room temperature ferromagnetism [16–20]. Furthermore, ZnO has excellent properties, such as a direct wide-bandgap of 3.37 eV and a large exciton binding energy of 60 meV at room temperature [21–23]. Although room temperature ferromagnetism in transition metals (TMs) doped ZnO has been reported, the magnetism is often weak [24]. Compared with TMs, rare-earth (RE) metals with open f shells, often offer larger magnetic moment. Indeed, both theoretical and experiments studies revealed that Gd-doped GaN exhibits a colossal magnetic moment [25,26]. Therefore,

it remains an open question whether RE doping can induce strong room temperature ferromagnetism in ZnO. However, up to now, RE elements have been much less pursued as dopants in ZnO that shows room temperature ferromagnetism, even if recent theoretical and experiments studies have indicated that RE-doped (RE=Gd, Nd) doped ZnO films only show paramagnetic behavior or very weak ferromagnetism at room temperature [27–30]. In the recent years, the codoping approach, especially donor-acceptor coupling, has been intensively studied due to the possibility to tailor the position and occupancy of the Fermi energy of doped DMSs [31]. For instance, Wang *et al.* observed room temperature ferromagnetism in the (Mn, N)-codoped ZnO [32]. In our previous work, it was also observed that donor-acceptor complex in SnO<sub>2</sub> induce room temperature ferromagnetism [33]. Therefore, codoping is likely to be a potential approach to enhance the ferromagnetism of ZnO.

In this article, we conducted a comparative study on undoped, Nd-doped, N-doped and (Nd, N)-codoped ZnO via complementary experiments and first-principles calculations based on spin-polarized density functional theory (DFT). In the (Nd, N)-codoped ZnO thin film, we observed ferromagnetic enhancement at room temperature. The first-principles calculations reveal that the N incorporation into the Nd-doped ZnO results in the more stable ferromagnetic ordering between two Nd atoms, which well supports our experimental results.

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## 2. Experimental and first-principles calculations details

Undoped, Nd-doped, N-doped and (Nd, N)-codoped ZnO films were deposited on *c*-plane sapphire substrates by radio-frequency (RF) magnetron sputtering. The pure and Nd-doped ZnO ceramic targets were sintered by high purity ZnO (99.99%, Alfa Aesar) and the mixture of the ZnO and Nd<sub>2</sub>O<sub>3</sub> (99.99%, Alfa Aesar) powder with a mole ratio of 99:1, respectively. The pressure of the growth chamber was evacuated to be  $3 \times 10^{-4}$  Pa before deposition, and then filled with sputtering gas and was kept at 1.0 Pa during depositing process. The pure Ar gas was used as work gas for the undoped and Nd-doped ZnO films, and the mixed gases of Ar and N with a flow ratio of 3:1 for the N-doped and (Nd, N)-codoped ZnO films. All thin films were sputtered for 1 h at deposition temperature of 500 °C.

X-ray diffraction (XRD) was used to determine crystal structures using an X-ray diffractometer with Cu *K* $\alpha$  radiation ( $\lambda=0.15418$  nm). Chemical states and compositions were determined using X-ray photoelectron spectroscopy (XPS). The adventitious C 1s peak at 284.6 eV was used to calibrate the binding energy. The concentrations of Nd and N for the Nd-doped and N-doped ZnO films were determined to be 2.8 at% and 1.0 at%, as well as 2.6 at% and 1.8 at% for the (Nd, N)-codoped ZnO films, respectively. Magnetization measurements were performed using a superconducting quantum interference device magnetometer (SQUID, Quantum Design, MPMSXL-5). The optical absorption measurements were performed using a UV–vis–near-IR spectrophotometer. The photoluminescence (PL) measurements were performed using a He–Cd laser with a 325-nm line as the excitation source.

In the first-principles calculations based on density functional theory (DFT), we adopted the VASP code with the projector augmented wave (PAW) potentials for the electronic interaction and the generalized gradient approximation (GGA) for the electron exchange and correlation [34–37]. The cutoff energy of 400 eV for the plane-wave basis was used and a 72-atom  $3 \times 3 \times 2$  supercell with the wurtzite structure was constructed. In the ZnO supercells, we constructed three different configurations for simulating the doped ZnO: (i) Two nearest-neighbor O atoms are substituted by two N atoms to form N<sub>O</sub>-N<sub>O</sub> configuration; (ii) Two nearest-neighbor Zn atoms are substituted by two Nd atoms to form Nd<sub>Zn</sub>-Nd<sub>Zn</sub> configuration; (iii) One O atom between two Nd atoms are substituted by one N atom to form 2Nd<sub>Zn</sub>-N<sub>O</sub> complex. For the Brillouin-zone integration, a  $3 \times 3 \times 3$  Monkhorst-Pack k-point mesh was used; a more refined ( $8 \times 8 \times 8$ ) k-point mesh was used for the density-of-states (DOS) calculations. In the supercell

optimization calculations, all the atoms are allowed to relax until the Hellmann-Feynman forces acting on them become less than 0.01 eV/Å.

## 3. Results and discussion

Fig. 1 shows the XRD patterns of undoped ZnO, Nd-doped ZnO, N-doped ZnO and (Nd, N)-codoped ZnO films grown on *c*-Al<sub>2</sub>O<sub>3</sub> substrates. For all the samples, only ZnO (002) diffraction peak is observed, indicating the ZnO films have the wurtzite structure with a preferred orientation and no impurity phase is detected. The enlarged ZnO (002) peaks are shown in the right panel of Fig. 1 for clearly demonstrating the peak positions. The (002) diffraction peaks of the doped ZnO films have a shift towards low diffraction angle with respect to the undoped ZnO films. The ionic radii of the Nd, Zn, N and O are 0.98, 0.74, 1.46 and 1.40 Å determined by Shannon [38]. The larger ionic radius of Nd and N than Zn and O lead to the lattice expansion, resulting in the peak shift towards to low diffraction angle. Therefore, the diffraction peak shifts confirm that the corresponding doping atoms are incorporated into ZnO lattices.

To determine the chemical states of dopants in ZnO, XPS measurements were carried out. Fig. 2(a) shows the XPS spectra of Nd 3d in the ZnO:Nd and ZnO:(Nd,N) films. The binding energy values obtained for Nd 3d<sub>3/2</sub> and Nd 3d<sub>5/2</sub> are 998.7 and 982.1 eV, respectively, confirming the trivalent state of Nd in the Nd doped and (Nd, N)-codoped ZnO films [39]. Fig. 2(b) shows the XPS spectra of N 1s in the ZnO:N and ZnO:(Nd,N) films. The N 1s spectrum displays one peak at 395.8 eV. It should be pointed that the binding energy of N 1s state varies from 395 to 408 eV as chemical environment of nitrogen atom changes [40]. The peak at 396 eV is assigned to nitrogen substitution at oxygen site (N<sub>O</sub>), acting as acceptor [41].

Fig. 3 shows the optical absorption data of  $(\alpha h\nu)^2$  versus  $h\nu$ , where  $\alpha$  is the absorption coefficient and  $h\nu$  is the photon energy. Using Tauc rule [42]:  $\alpha h\nu \propto (h\nu - E_g)^{1/2}$ , the bandgaps of undoped ZnO, N-doped ZnO, Nd-doped ZnO and (Nd, N)-codoped ZnO are 3.29, 3.26, 3.33 and 3.31 eV, respectively. The bandgap of the N-doped ZnO is slightly smaller than the undoped ZnO, which is ascribed to the occurrence of band tail states introduced by N acceptor incorporation. The bandgap of the Nd-doped and (Nd, N)-codoped ZnO is significantly larger than the undoped ZnO, which is due to Burstein-Moss effect [43,44]. It should be noted that the absorption edge of the (Nd, N)-codoped ZnO is smaller than the Nd-doped ZnO. The doped Nd and N atoms act as donors and acceptors in ZnO film, respectively. As a result, a passivated donor-acceptor impurity band can occur in the bandgap when a large

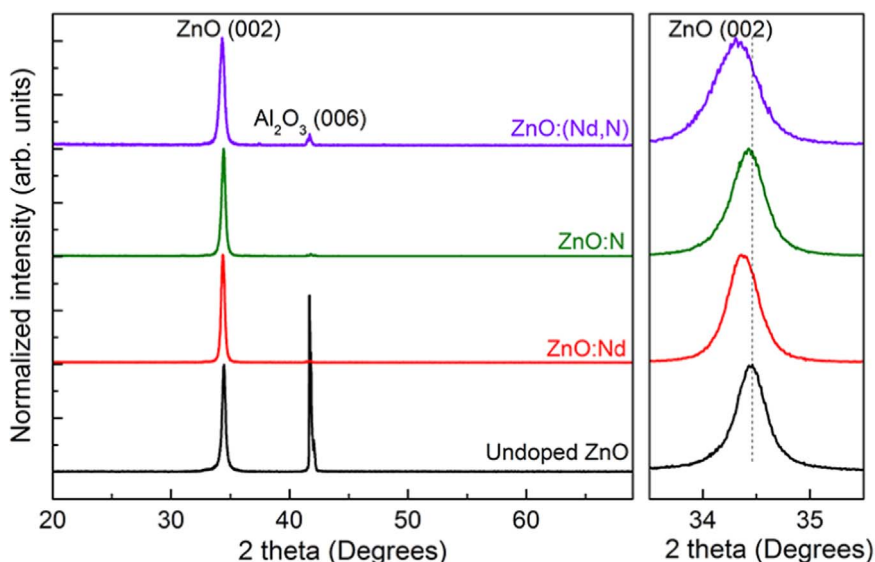


Fig. 1. Normalized XRD patterns of the undoped, Nd-doped, N-doped and Nd-N codoped ZnO thin films. The enlarged ZnO (002) peaks are shown in the right panel.

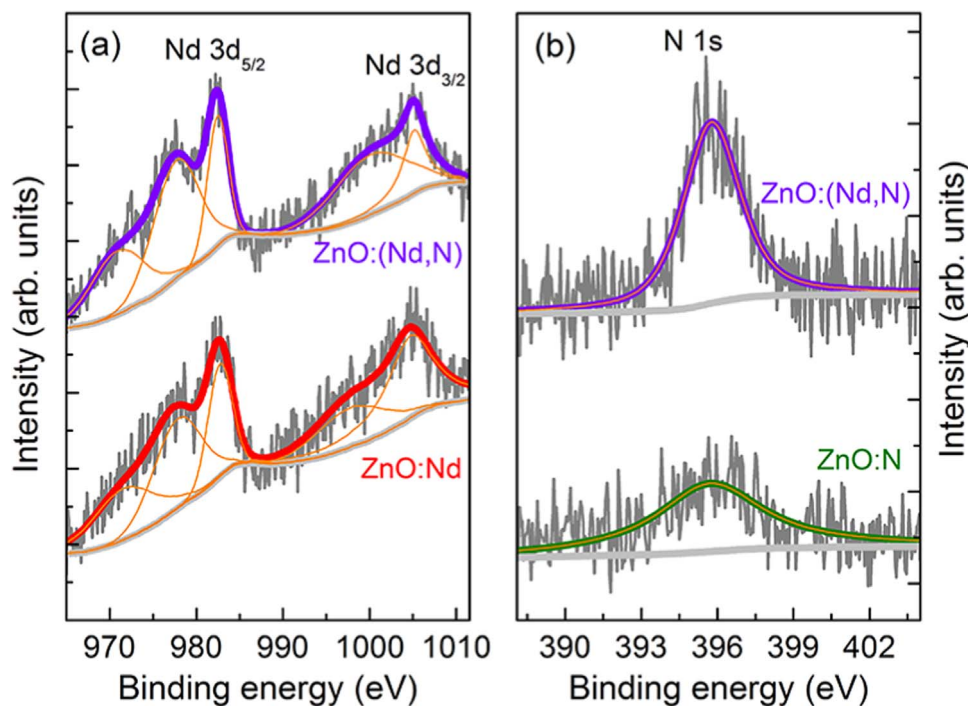


Fig. 2. XPS spectra of (a) Nd 3d states in the ZnO:Nd and ZnO:(Nd,N) thin films as well as (b) N 1s states in the ZnO:N and ZnO:(Nd,N) thin films.

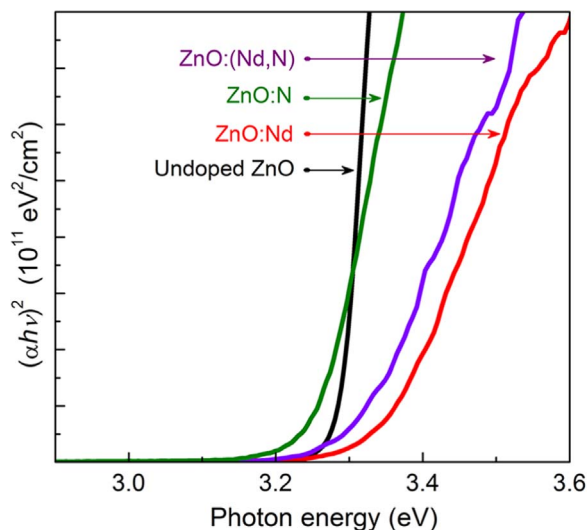


Fig. 3. The  $(\alpha h\nu)^2$  versus  $h\nu$  of the undoped ZnO, ZnO:Nd, ZnO:N and ZnO:(Nd,N) thin films.

number of donor-acceptor complexes are presented in the film [45]. Therefore, the narrowing of band gap is attributed to the emergence of the donor-acceptor complexes.

Fig. 4(a) shows the raw data of magnetization versus magnetic field (M-H) measured at room temperature for the undoped, Nd-doped, N-doped and (Nd, N)-codoped ZnO thin films, without subtracting the substrate contribution. The M-H curve of the ZnO:(Nd,N) clearly exhibits ferromagnetic behavior superimposed on diamagnetism from sapphire substrates. Fig. 4(b) shows the M-H curves after subtracting the diamagnetic contributions from the sapphire substrates. For the undoped and Nd-doped ZnO films, the M-H curves include paramagnetic and weak ferromagnetic behavior. The weak ferromagnetism is attributed to intrinsic defects in ZnO [16,46–49]. The observed paramagnetic behavior in the Nd-doped ZnO is consistent with the previous reports [27]. The ferromagnetic behavior is observed for the N-doped and (Nd, N)-codoped ZnO films. Compared with the N-doped

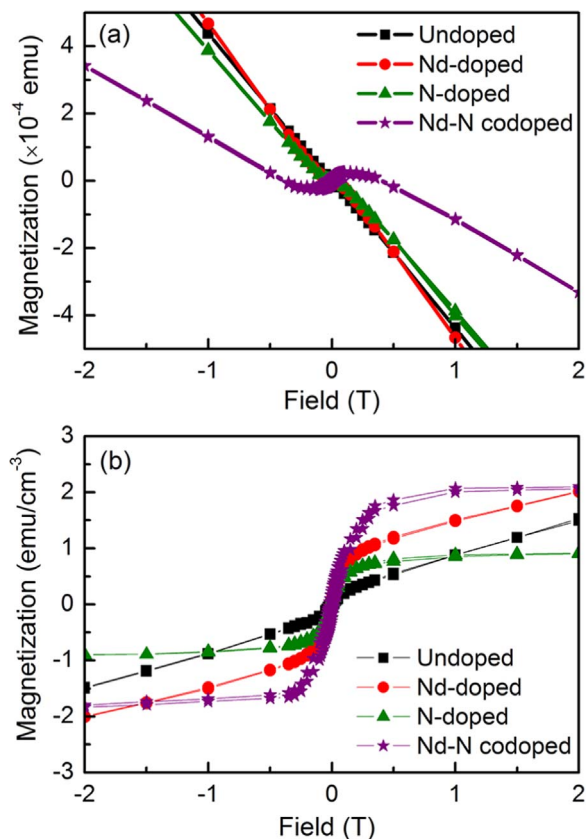


Fig. 4. (a) Magnetization versus magnetic field curve at room temperature for the undoped ZnO, ZnO:Nd, ZnO:N and ZnO:(Nd,N) thin films. (b) The corresponding ferromagnetic hysteresis loops after subtracting the diamagnetic contribution from the substrates.

ZnO, the (Nd, N)-codoped ZnO shows a double ferromagnetic enhancement, indicating the (Nd, N)-codoping plays an important role in enhancing ferromagnetism of ZnO.

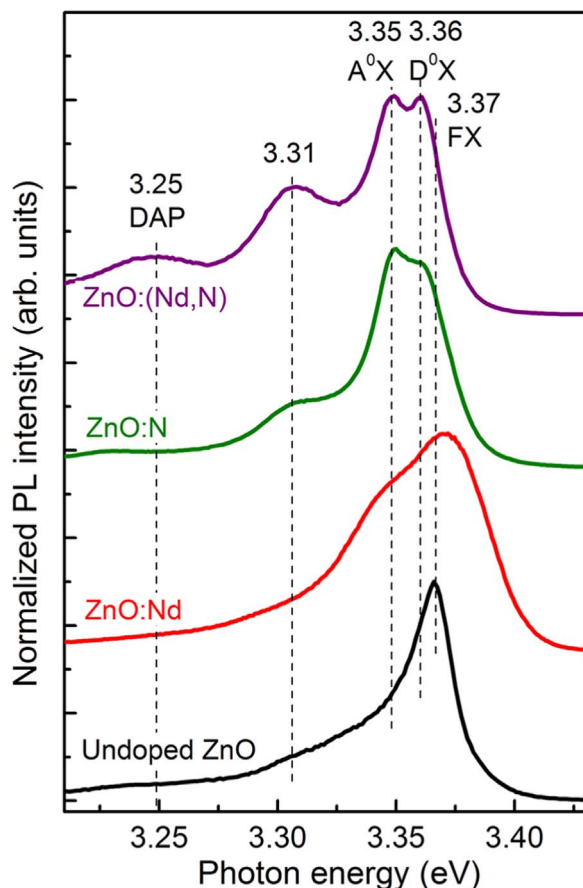


Fig. 5. 80 K PL spectra of the undoped ZnO, ZnO:Nd, ZnO:N and ZnO:(Nd,N) thin films.

To search for the possible defects related to the origin of ferromagnetism, we performed low temperature PL measurement because PL is an effective tool to reveal the defect characteristics in semiconductors. Fig. 5 shows the 80 K PL spectra of undoped ZnO, Nd-doped ZnO, N-doped ZnO and (Nd, N)-codoped ZnO films. For the undoped ZnO film, a sharp peak is found at 3.37 eV, which is assigned to radiative recombination of free exciton (FX) [50,51]. The observation of FX indicates that the prepared sample is of high quality. The emission peak of the Nd-doped ZnO film shows a blueshift with respect to the undoped ZnO, which is attributed to Burstein–Moss effect [43,44]. The N-doped ZnO film exhibits three near-band-edge (NBE) peaks at 3.36 eV, 3.35 eV and 3.31 eV. The emission at 3.36 eV can be unambiguously assigned to excitons bound to the neutral donors ( $D^0X$ ) [43,44], which is due to the donor defects of ZnO. The emission around 3.35 eV is ascribed to the excitons bound to the neutral acceptors ( $A^0X$ ), which is related to N acceptor doping. The origin of 3.31 eV PL emission is due to the stacking faults related to acceptors [52,53]. For the (Nd, N)-codoped ZnO films, besides the peaks at 3.36 eV, 3.35 eV and 3.31 eV, an obvious emission peak at 3.25 eV is observed, which originates from radiative recombination of donor-acceptor pairs (DAP) [54]. The emergence of the DAP emission is likely to be related to the ferromagnetic property of (Nd, N)-codoped ZnO as we will discuss later.

The electrical properties of the undoped, Nd-doped, N-doped and (Nd, N) codoped films are summarized in Table 1. The undoped ZnO film shows an n-type conduction with an electron concentration of  $5.3 \times 10^{18} \text{ cm}^{-3}$  and high mobility of  $14.7 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ . The electron concentration of the Nd-doped ZnO film increases to  $3.5 \times 10^{20} \text{ cm}^{-3}$  due to the incorporation of shallow Nd donor. A p-type conduction with a hole concentration of  $\sim 10^{17} \text{ cm}^{-3}$  is obtained for the N-doped ZnO film. The p-type conduction is due to N substituting O site as an

Table 1

The electrical properties of the undoped and doped ZnO thin films.

Doping element	Resistivity ( $\Omega \text{ cm}$ )	Carrier density ( $\text{cm}^{-3}$ )	Mobility ( $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ )	Conduction type
undoped	0.08	$5.3 \times 10^{18}$	14.7	n
Nd	$1.5 \times 10^{-3}$	$3.5 \times 10^{20}$	11.9	n
N	85.5	$2.4 \times 10^{17}$	0.3	p
Nd-N	0.02	$1.2 \times 10^{20}$	2.6	n

acceptor in ZnO. Interestingly, a significant decrease of carrier concentration is observed for the (Nd, N)-codoped ZnO due to the compensation of N acceptors to Nd donors.

To check the stability of ferromagnetic couplings, the electronic structures and total energies of Nd-doped, N-doped and (Nd, N)-codoped ZnO systems were calculated using first-principles methods. We constructed the three different ZnO supercell configurations:  $\text{N}_\text{O}-\text{N}_\text{O}$ ,  $\text{Nd}_\text{Zn}-\text{Nd}_\text{Zn}$  configurations and  $2\text{Nd}_\text{Zn}-\text{N}_\text{O}$  complex. The energy differences between antiferromagnetic (AFM) and ferromagnetic (FM) states ( $\Delta E = E_\text{AFM} - E_\text{FM}$ ) for the  $\text{N}_\text{O}-\text{N}_\text{O}$ ,  $\text{Nd}_\text{Zn}-\text{Nd}_\text{Zn}$  configurations and  $2\text{Nd}_\text{Zn}-\text{N}_\text{O}$  complex are 40, 18 and 104 meV, respectively. The small  $\Delta E$  value for the  $\text{Nd}_\text{Zn}-\text{Nd}_\text{Zn}$  configuration indicates a paramagnetic behavior, which is in agreement with the previous reports [27]. The  $\Delta E$  value for the  $\text{N}_\text{O}-\text{N}_\text{O}$  configuration is consistent with the calculated result of 44 meV by Wang et al. [55] and is also in accordance with the weak ferromagnetism of ZnO:N observed experimentally [56]. In these configurations, the large  $\Delta E$  for the  $2\text{Nd}_\text{Zn}-\text{N}_\text{O}$  complex indicates the most stable FM coupling, well

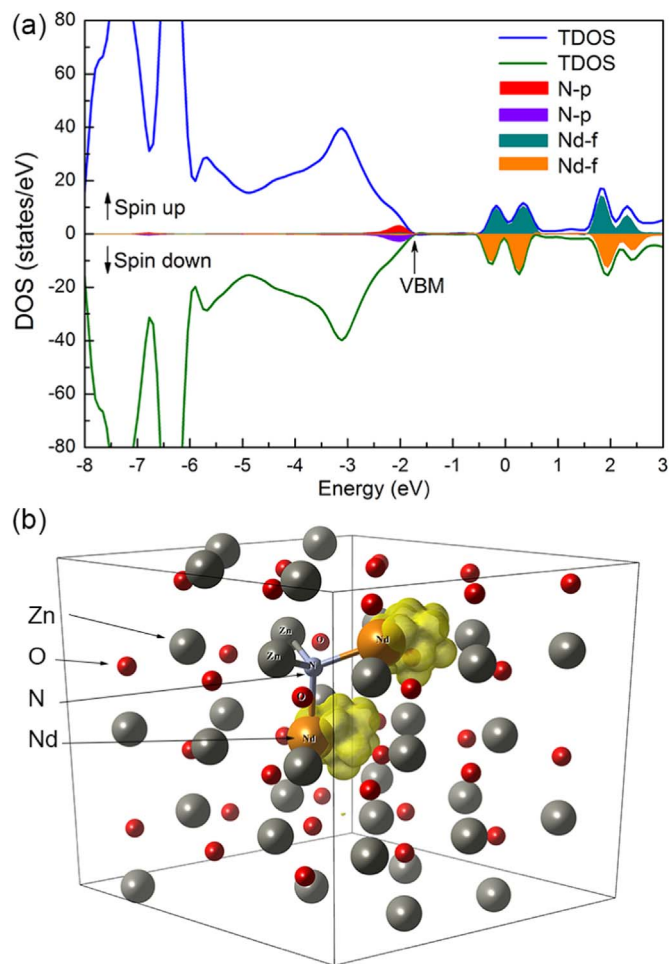


Fig. 6. (a) Calculated total and partial DOS and (b) spin density distributions of the 72-atom ZnO supercell with  $2\text{Nd}_\text{Zn}-\text{N}_\text{O}$  complex. The Fermi level is set at zero.



supporting the observed room temperature magnetism. In order to further determine whether the formation of the  $2\text{Nd}_{\text{Zn}}\text{-N}_\text{O}$  complex is stable in ZnO, we calculated the binding energy of the complex:  $E_b = E_{\text{tot}}(2\text{Nd}_{\text{Zn}}\text{-N}_\text{O}) + E_{\text{tot}}(\text{ZnO}) - E_{\text{tot}}(2\text{Nd}_{\text{Zn}}) - E_{\text{tot}}(\text{N}_\text{O})$ , where  $E_{\text{tot}}$  is the total energy of the system calculated with the same supercell. The calculated binding energy  $E_b$  for the  $2\text{Nd}_{\text{Zn}}\text{-N}_\text{O}$  complex is  $-1.7$  eV, indicating that the complex is stable with respect to the isolated Nd and N dopants in ZnO.

To well understand the origin of ferromagnetism, the total and partial spin-resolved DOS of the ZnO system with  $2\text{Nd}_{\text{Zn}}\text{-N}_\text{O}$  complex were calculated, as shown in Fig. 6(a). There exists a significant spin splitting in the conduction band, which is contributed by the Nd-f states. We obtained a magnetic moment of  $3.2 \mu\text{B}$  for each Nd atom. Fig. 6(b) shows the spatial distributions of spin density for the ZnO supercell with  $2\text{Nd}_{\text{Zn}}\text{-N}_\text{O}$  complex. Most of the spin densities were localized in the vicinity of Nd dopants, which was consistent with results from the DOS calculations.

#### 4. Conclusions

In summary, we carried out a comparative study on magnetism of undoped, Nd-doped N-doped and (Nd, N)-codoped ZnO by combining experiments with first-principles calculations. Our results demonstrated unambiguously a ferromagnetism enhancement in (Nd, N)-codoped ZnO, suggesting that donor-acceptor complex plays a key role for establishing ferromagnetic order in ZnO.

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