# LANGMUR Cite This: Langmuir 2020, 36, 789-795

# In Situ Seed-Mediated Growth of Polymer-Grafted Gold Nanoparticles

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**Supporting Information** 

**ABSTRACT:** We report a facile yet general in situ seed-mediated method for the synthesis of polymer-grafted gold nanoparticles with narrow size distributions (<10%), accurately tunable sizes, and excellent colloidal stability. This method can be extended to a broad range of types and molecular weights of polymer ligands. Nanoparticles with different shapes can also be prepared by using preformed shaped nanoparticles directly as the seeds.



Polymer-grafted nanoparticles (NPs),<sup>1–3</sup> in which polymer ligands are covalently attached onto an inorganic nanoparticle surface, have drawn considerable attention from both fundamental research and practical application fields.<sup>4–13</sup> The polymer component can serve as either steric stabilizer in solution and in polymer matrix to prevent the agglomeration of the attached nanoparticles and/or functional linker to control the structure and collective properties of the assembly of nanoparticles.<sup>14–19</sup> Therefore, it is critical to develop efficient preparation methods for monodisperse polymergrafted nanoparticles with controllable grafting density, uniform grafting density distribution, low polydispersity index (PDI) of polymer ligands, and excellent colloidal stability.

Generally, there are two complementary methods for the preparation of polymer-grafted nanoparticles, that is, "grafting-from"<sup>20-26</sup> and "grafting-to"<sup>27-42</sup> methods with each technique possessing unique merits and disadvantages.<sup>43-45</sup> The "grafting-from" method involves polymerization of monomer directly from initiator-functionalized nanoparticle surfaces. This method easily allows for high grafting densities to be achieved; however, it provides less uniformity of polymer coverage on the NP surface and poor PDI of the synthesized polymer ligands. In contrast, in the "grafting-to" process, end-functionalized polymer ligands are grafted onto the surface of

existing NPs via the replacement of small molecular ligands used for the synthesis of NPs. This method has the advantage of using preformed well-defined polymers with known molecular architectures, predetermined molecular weights, and narrow polydispersity, resulting in more uniform grafting density distributions.<sup>45</sup> The "grafting-to" method typically suffers from inability to achieve high grafting densities because of two reasons: (1) polymer chains must diffuse through the existing grafted polymer layer to react with the NP surface, and (2) it is difficult for polymer ligands to replace small molecule ligands more densely packed on the NP surface.<sup>46</sup>

Another less common approach, the "in situ" method (sometimes also categorized as "grafting-to" method),<sup>47–50</sup> is to directly use a well-defined polymer as a stabilizing reagent in the synthesis of core NPs without the presence of small molecule ligands.<sup>49</sup> Therefore, higher grafting density (compared to that of the grafting-to method), better PDI, and polymer coverage uniformity can be achieved, resulting in an excellent colloidal stability. However, the size distribution of the as-prepared NPs, e.g., gold NPs (GNPs), is often difficult to control (polydispersity over 20%), and only relatively small

Received:November 15, 2019Revised:December 6, 2019Published:December 9, 2019

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GNPs (diameters less than 10 nm) can be obtained,  $^{10,51,52}$  which is not comparable to those of well-established preparation methods of GNPs. These issues severely hinder the development of this method.

Herein we report a facile yet general in situ seed-mediated method for the synthesis of polymer-grafted GNPs with narrow size distributions (<10%). Gold seeds with sizes below 2 nm in aqueous solution are synthesized following a highly reproducible method described previously. The gold seeds are transferred to tetrahydrofuran (THF) for the growth of spherical GNPs with thiol-terminated polystyrene (PS-SH,  $M_{\rm n}$  = 4200 g/mol) ligands and 1-methylpyrrolidine (1-MPR) as a mild reducing agent. The size of the produced PS-grafted GNPs (GNP@PS) can be accurately fine-tuned from  $9.0 \pm 0.5$ to  $23.7 \pm 1.8$  nm depending on the regrowth steps (i.e., gold precursor to seed ratio). PS-SH with different molecular weights (4200 to 40000 g/mol) shows negligible effect on the size and size distribution of the resulting GNP@PS but can be used to control their hydrodynamic radius. Importantly, the GNP@PS prepared by the in situ seed-mediated method shows better colloidal stability than that prepared by the "grafting-to" method with the same size of GNPs and molecule weight of PS-SH ligands. We also demonstrate that the in situ seed-mediated method is applicable for the synthesis of GNPs with other polymer ligands, such as thiol-terminated poly-(ethylene glycol) (PEG-SH), thiol-terminated poly(2-(dimethylamino)ethyl methacrylate) (PDMAEMA-SH), thiol-terminated poly(methyl methacrylate) (PMMA-SH) in ethanol, deionized water (DI water), and THF, respectively. This method can be extended for the preparation of polymergrafted GNPs with other shapes, e.g., PS-grafted gold nanorods (GNR@PS) by using preformed gold nanorods (GNRs) as the seeds.

Seed-mediated synthesis of NPs is one of the most frequently used methods for producing metal NPs such as Au, Ag, Pd, or Cu NPs, with well-controlled shapes, sizes, and size distribution.<sup>53</sup> In a seed-mediated method, the formation of seeds (i.e., metal clusters or small NPs) and the growth of NPs from these seeds are separated into two distinct stages, so that the formation of new seeds in the second (growth) stage is suppressed. Deposition on the existing nucleus has an energy barrier lower than that of formation of a new nucleus, which makes seed-mediated growth method favorable for a narrow size distribution of NPs.55 For example, in the case of the synthesis of GNRs in water,<sup>54</sup> cetyltrimethylammonium bromide (CTAB)-stabilized gold cluster seeds with sizes below 2 nm are prepared by reducing Au(III) to Au(0) with a strong reducing agent (sodium borohydride), while further formation of seeds in the second stage (growth solution) is inhibited by using a weak reducing agent (ascorbic acid) that can only reduce Au(III) to Au(I). Therefore, all the seeds are formed at the same time in the first stage and grew under identical conditions in the second stage, resulting GNRs with narrow size distributions.55

The preparation of gold cluster seeds in the method mentioned above is highly reproducible, and their growth mechanism has been well studied. In addition, Vaia and co-workers<sup>56</sup> reported that the gold cluster seeds can be phase-transferred from water into nonpolar solvents, such as toluene and THF, in the presence of PS-SH, which binds strongly to the gold seed surface by Au–S bonds. Therefore, we choose to use the CTAB-covered gold cluster seeds for the synthesis of GNP@PS.

For the growth solution, chloroauric acid (HAuCl<sub>4</sub>) in THF (0.24 mM) as the precursor of Au was reduced by 1-MPR (40 mM) in the presence of PS-SH ( $M_{\rm p}$  = 4200 g/mol, PDI = 1.04, 0.40 mM) (see details for the preparation of thiol-terminated polymers by reversible addition-fragmentation chain transfer (RAFT) polymerizations in Figures S1 and S2, and Tables S1 and S2). The concentration of PS-SH (0.40 mM) was found to be a critical concentration for synthesizing the GNP@PS. Below 0.40 mM, the surface plasmonic band (between 600 to 700 nm) for the aggregates of GNPs was observed in the extinction spectra (Figure S3). The color of the solution changed from light yellowish to colorless within 2 min after the addition of 1-MPR, indicating that gold ions were only reduced from Au(III) to Au(I) and no gold clusters (brownish color) or GNPs (reddish color) were observed. The Au(I) ions were stabilized by the formation of a Au(I)-S-PS complex, which was the precursor for the growth of Au nanoparticles.<sup>5</sup> Without addition of external gold cluster seeds, the growth solution turned to brown-pink color only after 10 h. This result suggests that although 1-MPR can reduce Au(III) to Au(0), the self-nucleation of gold seeds in the growth solution is very slow. In contrast, introducing the gold cluster seeds (40  $\mu$ L) into the freshly prepared growth solution (2 min after the addition of 1-MPR) resulted in a color change of the solution to reddish in 30 min at room temperature, indicating the much faster formation of GNPs. The growth of GNP@PS was continued over 10 h. TEM study of the produced GNP@PS (Figure 1a) shows an average diameter of the gold core  $(D_{NP})$ 



**Figure 1.** TEM images of GNP@PS synthesized by PS-SH ( $M_n$  = 4200 g/mol) with different regrowth times for various average diameters of the gold core ( $D_{\rm NP}$ ): (a) regrowth 0 times and  $D_{\rm NP}$  = 9.0  $\pm$  0.5 nm, (b) regrowth 4 times and  $D_{\rm NP}$  = 15.2  $\pm$  0.8 nm, (c) regrowth 8 times and  $D_{\rm NP}$  = 20.0  $\pm$  1.0 nm, (d) regrowth 12 times and  $D_{\rm NP}$  = 23.7  $\pm$  1.8 nm. (e) Extinction spectra of GNP@PS with different regrowth times. (f) The plot of the  $D_{\rm NP}$  and hydrodynamic diameters ( $D_{\rm H}$ ) of the corresponding GNP@PS with the accumulated concentration of HAuCl<sub>4</sub> used for regrowth.

# Scheme 1. Growth Mechanism of Polymer-Grafted Au Nanoparticles



of 9.0  $\pm$  0.5 nm with a standard deviation less than 10% (Figure S4). The GNP@PS displayed a localized surface plasmon resonance (LSPR) peak at 528 nm with a relatively narrow full width of half-maximum (fwhm) of 60 nm (Figure 1e).

It is indeed interesting that thiol-terminated polymer ligands allow for the growth of relatively larger nanoparticles while only gold clusters or small nanoparticles (in general with size less than 5 nm) can be obtained by using small molecular alkanethiols as the ligand with otherwise identical conditions. There are two possible reasons for the difference: (1) the chemisorption of small molecular alkanethiols is rather strong due to the van der Waals force between the tightly packed alkane chains,<sup>58</sup> and the polymer chain was tethered onto the surface of GNPs by a dynamic covalent mechanism; (2) the grafting density of the polymer on the GNPs is lower than that of small molecule ligands. Therefore, the surface of GNPs or clusters is not completely terminated by polymer ligands, and the Au(I)-S-PS complexes can penetrate through the shell of polymer chains and deposit on the uncovered Au surface, resulting in growth of larger GNPs as illustrated in Scheme 1. In comparison, small molecular ligands with higher grafting densities hinder the growth of gold nanoparticles by preventing the deposition of gold atoms onto them.

The diameter of GNPs, i.e.,  $D_{\rm NP}$ , plays a key role in determining their plasmonic properties. A synthetic method capable of precise control of GNP diameters is strongly desired. We found that with the current in situ seed-mediated method, the  $D_{\rm NP}$  of the produced GNP@PS can be accurately fine-tuned from 9.0  $\pm$  0.5 nm to 23.7  $\pm$  1.8 nm through continuous multiple regrowth steps. The key in each regrowth step is to control the concentration of Au(0) below their supersaturation concentration for the formation of new gold seeds; otherwise, both new and preformed seeds can grow, resulting in the formation of GNPs with diverse sizes and shapes.<sup>57</sup> In each step, a small amount of  $HAuCl_4$  (0.24 mM) was added into the growth solution with the existing GNP@ PS. This concentration of gold precursor was appropriate to prevent forming new seeds, and in the meantime high enough to deposit onto presynthesized gold seeds. The TEM analysis reveals that the increase of  $D_{\rm NP}$  for each step was about 1 nm, and in the meantime the polydispersity was kept below 10% (Figure S5 and Table S3). More importantly, no new small GNPs were observed. Direct regrowth of GNP@PS from 9 to above 20 nm by the addition of a large amount of HAuCl<sub>4</sub> at once led to the formation of a new nucleus and broadening the size distribution as shown in Figure S6.

The multiple steps in the regrowth process were also monitored by using UV-vis spectroscopy and dynamic light scattering (DLS) as shown in Figure 1e,f and Table 1. As  $D_{\rm NP}$  of GNP@PS increased from 9.0  $\pm$  0.5 to 23.7  $\pm$  1.8 nm, their

Table 1. Seed-Mediated Growth of GNP@PS with Different Regrowth Steps by Using PS-SH ( $M_n = 4200 \text{ g/mol}$ )

regrowth steps	$D_{\rm NP}~({\rm nm})$	$D_{\rm H}~({\rm nm})$	HAuCl <sub>4</sub> (mM)	LSPR (nm)	fwhm (nm)
0	$9.0 \pm 0.5$	$17.8 \pm 0.9$	0.24	528	60
4	$15.2\pm0.8$	$24.0\pm0.8$	1.20	528	54
8	$20.0\pm1.0$	$29.3 \pm 1.3$	2.16	530	54
12	$23.7\pm1.8$	$36.0 \pm 1.3$	3.12	532	56
<sup><i>a</i></sup> The conc	centration of	the $HAuCl_4$	was the	accumulated	concen-
tration.					

LSPR peaks were red-shifted from 528 to 532 nm as expected, and fwhm was kept below 60 nm, which was consistent with the low polydispersity determined by TEM studies. The hydrodynamic diameters ( $D_{\rm H}$ ) of GNP@PS produced with different regrowth steps also confirmed their narrow size distributions. The increase of  $D_{\rm H}$  was mainly attributed to the diameter increase of the inorganic core, i.e.,  $D_{\rm NP}$ , because the differences between  $D_{\rm H}$  and  $D_{\rm NP}$  were nearly constant, corresponding to the thickness of the PS shell.

Interestingly, as  $D_{\rm NP}$  of the GNP@PS increased, their shape changed from sphere to faceted cuboctahedron, a Wulff equilibrium crystal polyhedron enclosed by 8 triangular (111) facets and 6 square (100) facets.<sup>59</sup> The shape evolution is favored by thermodynamics: as the  $D_{\rm NP}$  of GNP@PS increases, the ratio of atoms at the faces (lower surface energy) to corners and edges (higher surface energy) increases; that is, the facets become more dominated. The high resolution TEM image as shown in Figure S7 proved that GNP@PS is singlecrystalline, which is consistent with the GNRs and gold nanocubes synthesized from the same type of gold cluster seeds.<sup>59</sup>

To study the effect of molecular weight of PS-SH on GNP@ PS, a series of PS-SH with  $M_n$  of 4200, 12700, 30200, and 40000 g/mol were utilized for the synthesis of GNP@PS with a fixed molar concentration of polymer chains (0.40 mM) and HAuCl<sub>4</sub> (0.60 mM) under otherwise identical conditions used for previous regrowth experiments. TEM studies of dry films of GNPs stabilized by PS-SH with different  $M_n$  (Figure 2a–d) reveal that the molecular weight of PS-SH has a negligible effect on the core size of GNPs and their narrow size distribution but clearly increased the interparticle distances in their dry films due to the thicker polymer shells for higher  $M_n$ of PS-SH (Table S4). A clear core—shell structure of GNP@PS synthesized with PS-SH ( $M_n$  = 40000 g/mol) can be observed

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**Figure 2.** TEM images (a–d) showing the GNPs stabilized by PS with different molecular weights (0.4 mM). (a)  $M_n = 4200$  g/mol and  $D_{\rm NP} = 13.7 \pm 1.0$  nm, (b)  $M_n = 12700$  g/mol and  $D_{\rm NP} = 14.3 \pm 0.9$  nm, (c)  $M_n = 30200$  g/mol and  $D_{\rm NP} = 15.1 \pm 0.9$  nm, (d)  $M_n = 40000$  g/mol and  $D_{\rm NP} = 16.1 \pm 1.0$  nm. (e) Extinction spectra of GNP@PS with different molecular weights. (f) The plot of the  $D_{\rm NP}$  and  $D_{\rm H}$  of the corresponding GNP@PS with different molecular weights measured by TEM and DLS, respectively.

in the TEM image (Figure S8). The fact that the GNPs@PS with different  $M_n$  of PS-SH have similar sizes suggests that the reactivity of Au(I)-S-PS only slightly changed with  $M_n$  of PS-SH, which is consistent with the equal reactivity assumption for polymerization theory.<sup>60</sup> As shown in Figure 2e, the extinction spectra of the GNP@PS with different  $M_n$  were almost identical, and the fwhm of LSPR peaks were well controlled to be about 60 nm, indicating narrow size distributions of the produced GNPs with similar  $D_{\rm NP}$ . As the  $D_{\rm NP}$  of GNP@PS only slightly changed with  $M_n$ , the large increase of  $D_{\rm H}$  determined by DLS was mainly attributed to the chain lengths of PS-SH ligands. (Figure 2f)

The colloidal stability of NPs plays a key role for their practical applications. To evaluate the colloidal stability of GNP@PS synthesized by the in situ seed-mediated method, we used grafting-to method to prepare PS grafted GNPs (GNP@PS\*) with almost identical  $D_{\rm NP}$  (15.3 ± 0.8 nm vs 15.4  $\pm$  0.8 nm) by utilizing the same PS-SH solution ( $M_{\rm n}$  = 4200 g/ mol, 0.40 mM). The concentrations of the two types of GNP solutions were also adjusted to be almost the same ( $\sim$ 2.2 nM). The colloidal stability was compared by repeated centrifugations (see experimental section in SI for details). The extinction spectra of two types of GNP solutions for different centrifugation cycles are shown in Figure 3. For GNP@PS\* after three centrifugation cycles, the LSPR peak was red-shifted and broadened with less intensity, indicating the formation of GNP aggregation. In contrast, the extinction spectra of GNP@ PS had no obvious changes even after five centrifugation cycles, suggesting that GNP@PS remained as individually



**Figure 3.** Extinction spectra of (a) the GNP@PS ( $D_{\rm NP} = 15.4 \pm 0.8$  nm, 2.2 nM) with PS-SH ( $M_{\rm n} = 4200$  g/mol, 0.40 mM) after centrifugation 0–5 cycles. (b) Sodium citrate-protected GNPs ( $D_{\rm NP} = 15.3 \pm 0.8$  nm, 2.2 nM) replaced by PS-SH ( $M_{\rm n} = 4200$  g/mol, 0.40 mM) after centrifugation 0–5 cycles.

dispersed in the solution. In addition, GNP@PS also performs better in thermal and chemical stability than that of GNP@ PS\*. As shown in Figure S9, GNP@PS\* was aggregated within 90 min after being heated at 70 °C or the addition of oxidant tert-butyl hydroperoxide, while GNP@PS remained colloidally stable in the solution under identical conditions. GNP@PS prepared by the in situ seed-mediated method also showed a good long-term stability (>1 month) due to the steric effect of PS-SH ligands. These results suggest that the polymer-grafted nanoparticles prepared by the in situ seed-mediated method possess better colloidal stability compared to that by the grafting-to method. Indeed, the grafting density of GNP@PS prepared by the in situ seed-mediated method (0.36 chains/ nm<sup>2</sup>) was similar to that obtained by the "grafting-to method"  $(0.32 \text{ chains/nm}^2)$  (Figure S10). The better colloidal stability should be attributed to the better uniformity of grafting density distribution.

Encouraged by the successful preparation of GNP@PS with controlled  $D_{\rm NP}$  and ligand chain lengths, we tested a series of technically important polymer ligands, including PDMAEMA-SH ( $M_n = 7600 \text{ g/mol}$ ), PEG-SH ( $M_n = 5000 \text{ g/mol}$ ), and PMMA-SH ( $M_{\rm p}$  = 7100 g/mol), to illustrate the generality of the in situ seed-mediated strategy. By using the same gold cluster seeds, GNP@PDMAEMA, GNP@PEG, and GNP@ PMMA were synthesized in good solvents for each type of polymer ligand, i.e., DI water, ethanol, and THF, respectively. TEM image analysis (Figure 4a-c) shows that the produced GNP@PDMAEMA, GNP@PEG, and GNP@PMMA possessed  $D_{\rm NP}$  of 7.5  $\pm$  0.79, 9.8  $\pm$  0.68, and 15.9  $\pm$  1.5 nm, respectively, and have narrow size distributions with their polydispersity of 10% or even lower. The different sizes of the produced GNP@PDMAEMA, GNP@PEG, and GNP@ PMMA could be attributed to the reactivity difference among the Au-polymer intermediates, that is Au(I)-S-PDMAEMA, Au(I)-S-PEG, and Au(I)-S-PMMA.

The corresponding extinction spectra also confirmed the similar narrow fwhm of about 60 nm comparable with those of GNP@PS (Figure 4d). These results suggest that the in situ seed-mediated strategy is not limited by the type of thiol-terminated polymer ligands or solvents and is therefore applicable for the preparation of polymer-grafted nanoparticles in a broad range. Interestingly,  $D_{\rm NP}$  of GNP@PMMA was considerably larger than those of GNP@PEG and GNP@PDMAEMA, although the precursor concentration for PMMA (6.0 mM) was even lower (15.0 mM for PEG and PDMAEMA) with otherwise identical conditions, except for the solvent (see Synthesis of GNPs with Different Polymers in

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**Figure 4.** TEM images (a–c) of the GNPs synthesized by different polymer ligands: (a) PDMAEMA-SH ( $M_n = 7600 \text{ g/mol}$ ) and  $D_{NP} = 7.5 \pm 0.79 \text{ nm}$ , (b) PEG-SH ( $M_n = 5000 \text{ g/mol}$ ) and  $D_{NP} = 9.8 \pm 0.68 \text{ nm}$ , and (c) PMMA-SH ( $M_n = 7100 \text{ g/mol}$ ) and  $D_{NP} = 15.9 \pm 1.5 \text{ nm}$ . (d) Extinction spectra of GNP@PDMAEMA, GNP@PEG, and GNP@PMMA in DI water, ethanol, and THF, respectively.

SI). This result indicates that the reactivity of Au(I)-S-polymer precursor could be affected by the structure of polymer chains.

We also extend this in situ seed-mediated strategy for the preparation of polymer-grafted nanoparticles with controlled shape by directly using preformed shaped GNPs, such as GNRs, as the seeds. CTAB-coated GNRs with an average length and width of  $32.6 \pm 3.6$  and  $7.7 \pm 0.9$  nm (Figure 5a), respectively, were synthesized in water following a highly reproducible protocol reported previously.<sup>54</sup> The presynthesized GNRs covered by CTAB were aggregated when added into THF, a poor solvent for CTAB. Instead, to prepare GNR@PS in THF solution, the GNRs were phase transferred from water into THF by using PS-SH through a ligand exchange process.<sup>49</sup> The GNR@PS in THF solution were used as seeds and added into the growth solution containing PS-SH  $(M_{\rm n} = 4200 \text{ g/mol}, 0.60 \text{ mM})$ , HAuCl<sub>4</sub>, and 1-MPR (20 mM). TEM images of the produced GNR@PS with different concentrations of HAuCl<sub>4</sub> are shown in Figure 5b,c. After the growth, the average length/width of the GNR@PS increased to  $37.0 \pm 5.4/9.6 \pm 1.2$  nm and then to  $45.1 \pm$  $1.8/15.9 \pm 1.7$  nm for the HAuCl<sub>4</sub> concentration of 0.30 and 0.60 mM, respectively. This result suggests that the gold precursor was deposited on both the end and side of the GNR@PS, and the average length/width of GNR@PS can be tuned by the amount of gold precursor without broadening their size distributions. In comparison with the extinction spectra of GNR@PS in THF before and after growth (Figure 5d), the longitudinal LSPR peak was blue-shifted from 875 to 698 nm, which also indicates the aspect ratio (the length to width ratio) of the GNR@PS became smaller.<sup>61</sup> These results demonstrate that the in situ seed-mediated growth method we developed can be used to synthesize polymer-stabilized GNPs with different shapes by varying the shape of the seeds.

In summary, we have demonstrated a general in situ seedmediated strategy for the preparation of size- and shapecontrolled polymer-grafted GNPs with narrow size distributions. The size of the produced GNP@PS can be accurately fine-tuned by the regrowth steps. The molecular weight of polymer ligands can be used to control their hydrodynamic



**Figure 5.** TEM images of the gold nanorods (GNRs) (a) stabilized by PS-SH in THF as seeds before growth and (b, c) after the growth with different concentrations of HAuCl<sub>4</sub>: (b) [HAuCl<sub>4</sub>] = 0.30 mM and (c) [HAuCl4] = 0.60 mM. (d) Extinction spectra of the GNR seeds transferred before and after the growth.

radius of GNP@PS, while showing negligible effects on their size and size distribution. We also demonstrate that this method is applicable for the synthesis of GNPs with other polymer ligands and even GNPs with different shapes by using preformed shaped nanoparticles directly as the seeds. Importantly, the GNP@PS prepared by in situ seed-mediated method shows better colloidal stability than that prepared by "grafting-to" method. This strategy paves a new path for the synthesis of inorganic nanoparticles with controllable size, shape, narrow size distribution, and uniform polymer ligand density, which are important for their long-term colloidal stability and practical applications.

#### ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.langmuir.9b03542.

Materials, methods, characterization, Tables S1-4, and Figures S1-10 (PDF)

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The authors declare no competing financial interest.

### ACKNOWLEDGMENTS

K.L. thanks the National Natural Science Foundation of China (21674042, 21975094, and 21534004) for financial support. This work is also supported by program for JLU Science and Technology Innovative Research Team "JLUSTIRT2017TD-06" and the opening funds of State Key Laboratory of Applied

Optics, Changchun Institute of Optics, Fine Mechanics and Physics.

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